



# SeaWinds Scatterometer Real-Time BUFR Geophysical Data Product

User's Guide

Version 2.2.0

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### Preface

This is a preliminary user's guide for the SeaWinds Real-Time BUFR Data Product (SWS\_Met BUFR). For this version we take advantage of the high quality, complete documentation that is available for the SWS\_Sci algorithms and interfaces. In many respects the processing algorithms and data elements are identical for real-time and science data. Therefore the SWS\_Met processing algorithms and data elements are not described here. Rather we reference the science data documentation, particularly the Science Algorithm Specifications [2], the Science Data Product User's Manual [14], and the Software Interface Specifications [15, 16, 17], describe differences, and highlight certain critical issues. It is envisioned that this document might be expanded at some later time. In this case, the sections describing the differences will be retained, as an aid to those users already familiar with the SWS\_Sci. Note that there are important and critical differences between the SWS\_Sci and the SWS\_Met MGDR data products, and between the SWS\_Met MGDR data products and the SWS\_Met BUFR data products.

This is an evolving document. Version 2.0 was created quickly. We anticipate minor revisions in the near future. Please send corrections, comments, and suggestions to rhoffman@aer.com.

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## **1** Overview of SeaWinds Data Products

This document describes the SeaWinds Real-Time BUFR Data Product (SWS\_Met BUFR). There are two other formats for SeaWinds data. These are the SeaWinds Science Data Product (SWS\_Sci) [14], and the SeaWinds Real-Time MGDR Data Product (SWS\_Met MGDR) [8]. Differences and similarities between the contents and formats of these three data sets are noted throughout this document. An overview of all three data sets follows.

The current release of these data is associated with several caveats. The user should be aware of the following:

- Wind performance in the far-swath is degraded due to the fact that only vertically polarized  $\sigma^0$  values are used in the wind retrieval. This problem is exacerbated in the case of the SWS\_Met MGDR and SWS\_Met BUFR because only two  $\sigma^0$  values are used.
- The SWS\_Met MGDR and SWS\_Met BUFR data processing use NCEP AVN forecasts to initialize the ambiguity removal, the SWS\_Sci processing uses analysis fields. The forecasts used are short range, no more than 12 hours in length.
- The brightness temperature related quantities are all presently missing in the SWS\_Met MGDR and SWS\_Met BUFR. These will be filled in at some later time. In the science data sets only the L1B has brightness temperature information.
- At present, two rain flag algorithms have been implemented, based on the σ<sup>0</sup> measurements and tuned to SSM/I rain estimates. Indices and flags from these algorithms are included in the SeaWinds data sets. For the SWS\_Met MGDR and SWS\_Met BUFR, as further data is collected, we anticipate occasional updates to the rain flag calibration files in order to provide improved rain indicators. The current rain algorithms are not valid in the far-swath. No rain information is present in the far swath. A single unified rain flag will probably be implemented in the future.

The SeaWinds scatterometer provides both Earth-located radar backscatter ( $\sigma^0$ ) and vector wind measurements collocated in 25 × 25 km wind vector cells. This includes coverage over land and ice as well as oceans; wind vectors are only retrieved in the ocean cells. The SWS\_Met data set is designed to address the needs of real-time operational users, and will normally be transmitted in BUFR format. The SWS\_Sci is of higher quality, but will not be available in real-time, and is designed to address the needs of the scientific community.

The SWS\_Sci is created by JPL and distributed by PO.DAAC. The SWS\_Met MGDR is produced by NOAA/NESDIS, converted to SWS\_Met BUFR and transmitted to operational users. The SWS\_Sci data products are in HDF format. The SWS\_Met MGDR data products are in binary format. The SWS\_Met BUFR data products are in BUFR format.

The SWS\_Met processing algorithms are identical to the science data algorithms [2] except that the SWS\_Met data processing algorithms use WVC-composites, instead of pulses (*aka* eggs) or pulse-composites, of  $\sigma^0$  measurements in the wind vector retrieval to meet

operational latency requirements. (See Section 2.3 for details.) Since the algorithms are the same, the definitions of the data elements in the SWS\_Sci, SWS\_Met MGDR, and SWS\_Met BUFR data products are in many cases the same. Detailed definitions of the wind vector data elements are given by the Level 2B Software Interface Specification (SIS) [17]. Similarly detailed definitions of the  $\sigma^0$  data elements are given by the Level 2A SIS [16]. Differences between the SIS and the SWS\_Met BUFR are described in Sections 3.1 and 3.2.

For all three data formats, the SeaWinds data are organized in a swath-based format, with 76 cross track cells. Unlike NSCAT, there is no "nadir" gap for SeaWinds. The nominal instrument measurement swath extends 900 km to either side of the nadir track. Thus, 72 WVCs, with 36 on either side of nadir, should accommodate nearly every  $\sigma^0$  measurement. Variations in spacecraft attitude and the local curvature of the earth will cause very few  $\sigma^0$ measurements to fall outside of the nominal measurement swath. To accommodate these measurements, the SeaWinds data products includes 4 additional WVCs per row, two on either side of the measurement swath, for a total of 76 WVCs. For each across swath position or cell, there are 1624 rows of WVCs, from the beginning to the end of each revolution (or rev). Since the cells are used to group  $\sigma^0$  data for wind vector retrieval they are called wind vector cells. Nominally, there is a one-to-one correspondence between a file and a QuikSCAT rev for the SWS\_Sci, and between a file and a pass for the SWS\_Met MGDR. For the SWS\_Met data products, a record corresponds to a single row of cells, i.e. to a single wind vector cell (WVC) row.

There are approximately 100 revs per week. The data products are moderately large, with weekly volumes of 2 gigabytes for the SWS\_Met MGDR and  $\sim 1$  gigabyte for the SWS\_Met BUFR.

There are several key differences between the conventions of the SWS\_Sci, the SWS\_Met MGDR, and the SWS\_Met BUFR. These are noted where appropriate in the text and listed here:

- Missing values are coded with a special missing value indicator in the SWS\_Met BUFR, but are often set to zero in the SWS\_Sci and SWS\_Met MGDR.
- Wind direction is meteorological for SWS\_Met BUFR and oceanographic for the SWS\_Sci and SWS\_Met MGDR.
- **Bit numbering** starts with one for the most significant bit for the SWS\_Met BUFR, and with zero for the least significant bit for the SWS\_Sci and SWS\_Met MGDR. This affects documentation and data storage. This document follows the BUFR bit numbering convention.
- **Fixed ordering** is used to store the  $\sigma^0$  data in the SWS\_Met BUFR, but not in the SWS\_Sci and SWS\_Met MGDR.
- **kp\_gamma** is stored in decibels (dB) in the SWS\_Met BUFR, but not in the SWS\_Sci and SWS\_Met MGDR.

In what follows, we refer to individual data elements by the corresponding SIS element names and by the BUFR descriptor number in this format: wvc\_quality\_flag [021109].

Note that much of the material in section 2 is covered in greater detail in the Science Data Product User's Manual [14].

## 2 Technical Background

The SeaWinds instrument on QuikSCAT is a "quick recovery" mission to fill the gap created by the loss of data from the NASA Scatterometer (NSCAT), when the satellite it was flying on lost power in June 1997. QuikSCAT was launched from California's Vandenberg Air Force Base aboard a Titan II vehicle at 7:15 PDT, 19 June 1999, and will continue to collect important ocean wind data that was begun by NSCAT in September 1996. SeaWinds on QuikSCAT is depicted in figure 1.

SeaWinds uses a rotating dish antenna with two spot beams that sweep in a circular pattern. The antenna radiates microwave pulses at a frequency of 13.4 GHz across broad regions on the Earth's surface. The instrument will collect data over ocean, land, and ice in a continuous, 1,800-kilometer-wide band, making approximately 400,000 measurements and covering 90% of Earth's surface in one day (figure 2).

#### 2.1 Science Motivation

The primary mission of QuikSCAT is to acquire all-weather high-resolution measurements of near-surface winds over the global oceans. These measurements will help to determine atmospheric forcing, ocean response and air-sea interaction mechanisms on various spatial and temporal scales. Operational users will visualize the wind data for nowcasting applications and will assimilate the wind data into numerical weather and wave-prediction models. QuikSCAT wind data, combined with measurements from various scientific disciplines, will help to understand mechanisms of global climatic change and weather.

Satellite scatterometers are microwave radar instruments designed specifically to measure near-surface wind velocity (both speed and direction) over the global oceans under all weather conditions [12, 4]. Wind stress is the single largest source of momentum to the upper ocean, driving oceanic motions on scales ranging from surface waves to basin-wide current systems. Winds over the ocean modulate air-sea fluxes of heat, moisture, gases and particulates, regulating the crucial coupling between atmosphere and ocean that establishes and maintains global and regional climate. Measurements of surface wind velocity can be assimilated into regional and global numerical weather models, improving our ability to predict future weather. As the only remote sensing systems able to provide accurate, frequent, high-resolution measurements of ocean surface wind speed and direction under both clear sky and cloudy conditions, scatterometers have played an increasingly important role in oceanographic, meteorological and climatic studies since the launch of ERS-1 in 1991. Scatterometers use a highly indirect technique to measure wind velocity over the ocean. The atmospheric motions themselves do not substantially affect the radiation emitted and



Figure 1: Artists depiction of SeaWinds on QuikSCAT.

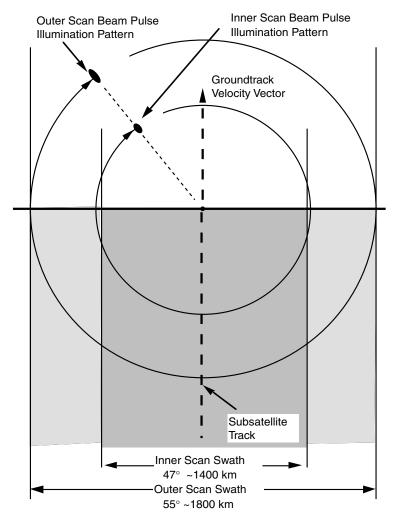


Figure 2: The SeaWinds swath.

received by the radar. These instruments transmit microwave pulses and receive backscattered power from the ocean surface. Changes in wind velocity cause changes in ocean surface roughness, modifying the radar cross section of the ocean and the magnitude of the backscattered power. Scatterometers measure this backscattered power, allowing estimation of the normalized radar cross section ( $\sigma^0$ ) of the sea surface. Backscatter cross section varies with both wind speed and direction when measured at moderate incidence angles. Multiple, collocated, nearly simultaneous  $\sigma^0$  measurements acquired from several directions can thus be used to solve simultaneously for wind speed and direction.

## 2.2 QuikSCAT Mission Description

For the QuikSCAT SeaWinds mission the science objectives are:

- Acquire all-weather, high-resolution measurements of near-surface winds over global oceans.
- Determine atmospheric forcing, ocean response, and air-sea interaction mechanisms on various spatial and temporal scales.
- Combine wind data with measurements from scientific instruments in other disciplines to help us better understand the mechanisms of global climate change and weather patterns.
- Study both annual and semi-annual rain forest vegetation changes.
- Study daily/seasonal sea ice edge movement and Arctic/Antarctic ice pack changes.

The operational objectives are:

- Improve weather forecasts near coastlines by using wind data in numerical weatherand wave-prediction models.
- Improve storm warning and monitoring.

The mission description includes:

- Launch vehicle is Titan II.
- Mission life is 2 years (3 years consumables).
- Orbit is sun-synchronous,  $803 \ km$  altitude above the equator, with an inclination of  $98.616^{\circ}$ . The orbit repeats every 4 days or 57 orbits. The orbital plane is perpendicular to the sunlight—local time is always close to 6 am/pm and the spacecraft is rarely in the earth's shadow.

The spacecraft description includes:

- ADCS approach is 3-axis stabilized, and uses star tracker/IRU/reaction wheels, and C/A code GPS.
- Pointing accuracy is  $< 0.1^{\circ}$  absolute per axis.
- Pointing knowledge is  $< 0.05^{\circ}$  per axis.
- Telecommunications include 2 Mbps S-band P/L for science data and 5, 16, 256 Kbps S-Band and 2 Kbps S-Band uplink for housekeeping data.
- Propulsion is N2H4 blowdown.
- Mass is 970 kg.
- Orbital average power is 874 W.
- Data capacity is 8 gigabits.

The ground system includes:

- Tracking is by the Earth Polar Ground stations Svalbard, Norway; Poker Flats, Alaska; Wallops Island, Virginia; and McMurdo, Antarctica.
- High-quality research data products are produced at JPL and distributed to the science community within 2 weeks of receipt.
- Scatterometer SWS\_Sci are distributed by the JPL Physical Oceanography Distributed Active Archive Center (PO.DAAC), a scientific data distribution site: http://podaac.jpl.nasa.gov.
- Operational data products produced at National Oceanic and Atmospheric Administration (NOAA) for international meteorological community within 3 hours of data collection. The operational timeline is summarized in Table 1.

The instrument description includes:

- 13.4 GHz radar, emitting 110 W pulses at 189 Hertz repetition frequency.
- 1 m diameter rotating dish antenna that produces two spot beams, sweeping in a circular pattern.
- Mass is 200 kg.
- Power is 220 W.
- Average data rate is 40 kilobits per second.

The measurements description includes:

- 1800 km swath during each orbit provides approximately 90% coverage of Earth's oceans every day. After crossing the equator, the next equator crossing occurs 101 minutes later 2800 km to the west. The local time at the ascending node is within 30 minutes of 6.00 am.
- Wind-speed measurements of 3 20 m/s, with an accuracy of 2 m/s; direction, with an accuracy of  $20^{\circ}$ .
- Wind vector resolution of  $25 \ km$ .
- Geolocation accuracy is  $\sim 1 \ km$ ; incidence angle accuracy is  $< 0.05^{\circ}$ ; and azimuth angle accuracy is  $\sim 0.01^{\circ}$ .

The mission partners are:

- National Oceanic and Atmospheric Administration (NOAA);
- NASA Goddard Space Flight Center (GSFC);
- Ball Aerospace and Technologies Corporation;
- U.S. Airforce and Missile Systems Center;
- Honeywell Satellite Systems Operations;
- Raytheon E-Systems Corporation;
- Lockheed Martin Astronautics; and
- Hughes Electron Dynamics Division.

The SeaWinds/QuikSCAT project is managed for NASA's Earth Science Enterprise by the Jet Propulsion Laboratory, a division of the California Institute of Technology.

Time (min)	Description
101	Orbit
10	Data aquisition and telemetry processing
27	Data transfers
35	Geophysical data processing
173	Total

Table 1: Operational QuikSCAT data flow timeline in minutes.

#### 2.3 SeaWinds Geophysical Data Processing

The SeaWinds geophysical data processing is summarized by figure 3. The Level Data products are produced by the SWS\_Sci. The SWS\_Met data processing follows the same steps, but does not create the Level Data products, except internally. Instead information from the L2A and L2B Data are combined in the SWS\_Met MGDR, which is then translated to SWS\_Met BUFR.

The term "wind retrieval" encompasses the process of inverting the geophysical model function [7, 18] for a given set of  $\sigma^0$  values to obtain (multiple) maximum likelihood estimates of the wind speed and direction, and then selecting the final wind field from the derived solution set. The inversion process is performed in a point-wise fashion (assuming each wind vector cell to be independent of its neighbors), and yields multiple solutions (ambiguities) due to the azimuthal variation of the model function. The process of ambiguity removal is performed in a field-wise fashion; the baseline algorithm used by SeaWinds is a vector median filter [13].

The wind retrieval processing for the SWS\_Met is identical to that used for the SWS\_Sci. except that the number of  $\sigma^0$  values are greatly reduced by creating "WVC-composites" of the "pulse-composites" nominally used in the science data processing. (Currently, the science data processing is based on full pulses.) Composites are formed by appropriately averaging finer grained  $\sigma^0$  data. The averaging is weighted by the so-called "X-factor" so that returned power is in fact added. The definition of X is simply that the returned power equals  $\sigma^0 X$ . The finest grained  $\sigma^0$  data are slices. Each radar pulse is divided into slices by frequency chirping the emitted radar signal and applying an FFT decomposition to the returned signal. The effect is to divide the signal into different range/Doppler bins as shown in figure 4. The slices cover the swath densely (figure 5). Pulse-composites are averages of all slices within the WVC of a single radar pulse. WVC-composites, sometimes denoted "composites squared". are formed by averaging all slices (or equivalently all pulse-composites) within the WVC of a single "flavor". If the centroid of a slice is within a particular WVC, then the slice is considered to be within the WVC. (See figure 6.) There are four types of measurements or flavors—inner-foreward, outer-foreward, inner-aft, and outer-aft. Here inner and outer refer to the inner and outer scan beams with look angles of  $39.876^{\circ}$  and  $45.890^{\circ}$  resulting in approximately constant incidence angles at the earth's surface of 45° and 53.6°, respectively. Inner and outer are horizontally and vertically polarized respectively. Foreward and aft refer to beam footprints foreward and aft of the spacecraft. Note that in the far-swath there are only outer scan beam footprints, and thus only two flavors of  $\sigma^0$ . The SWS\_Sci uses an arbitrary number of pulses or pulse-composites in each WVC. The SWS\_Met processing uses a maximum of four WVC-composites in each WVC. Accurate wind retrieval requires a diversity of azimuth angles. SWS\_Sci wind retrieval requires that the range of azimuth angles be at least 20°, otherwise no winds are retrieved. SWS\_Met processing requires at least one foreward beam measurement and at least one aft beam measurement.

Since there are nominally four flavors of  $\sigma^0$  values in the center of the swath, but only two in the far-swath, wind retrievals in the far-swath are expected to be of lower quality. Further, we may identify two zones within the inner-swath, which we call the mid-swath and

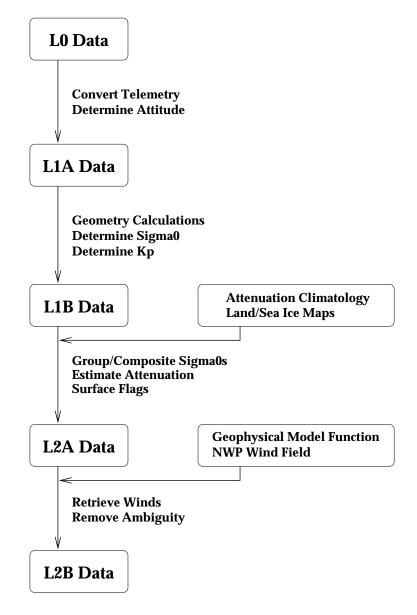


Figure 3: Data flow for SWS\_Met data processing.

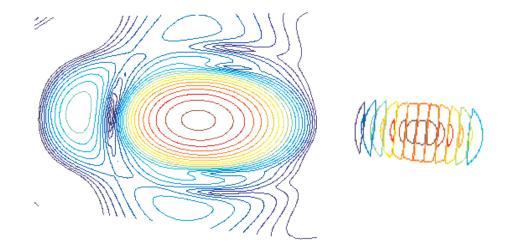


Figure 4: A pulse and its slices. The antenna response pattern for a single pulse is plotted with a contour interval of 1 dB on the left. The corresponding slices are shown on the right at the same scale. Here the contour interval is 3 dB, and only one or two most significant contours are shown. The outer or guard slices are used only to monitor the noise characteristics of the instrument. Up to eight inner slices are used to estimate  $\sigma^0$ .

nadir-swath, of greater and lesser quality, respectively. The mid-swath (~  $200 - 500 \ km$  on either side of the satellite track) has the greatest diversity of azimuth and incidence angles, and hence the best quality data. While no project requirements exist for the accuracy of the SWS\_Met wind vectors, we expect the overall performance to be slightly degraded from that of the SWS\_Sci primarily because creating the WVC-composites loses some of the azimuthal diversity of the original measurements. In particular, we anticipate that the resulting estimated likelihoods will be less skillful.

The ambiguity removal algorithm may be initialized with either the highest likelihood solutions (best-fits to the model function) or by comparison of the directions of the two most likely ambiguities in each WVC with a numerical weather product (NWP) analysis field.

#### 2.4 Land and Ice Applications

Although the primary focus of the QuikSCAT mission is on ocean winds, there has been considerable interest and research into applications of scatterometer data to land and ice surfaces [11]. For instance, images generated from earlier scatterometer data have demonstrated the ability to discriminate tropical vegetation types [10], and have been useful in polar ice studies [9]. If the normalized radar cross section  $\sigma^0$  in decibels is expressed in the form

$$\sigma^0 = A + B(\theta - 40),$$

where  $\theta$  is the incidence angle of the measurements, images of A and B can be created from the measurements. These images show local and seasonal variations in the surface scattering

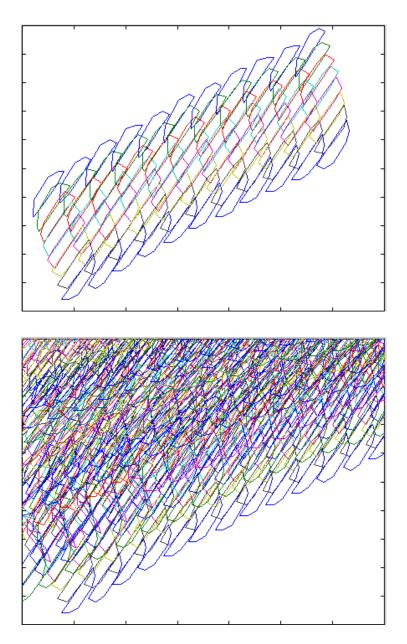


Figure 5: Slices simulated for the foreward inner beam for (top) a few pulses on a single scan line and (bottom) many pulses starting from the few shown above. The height of each panel corresponds to one degree of latitude or approximately 100 km. The slices are  $\sim 25 \times 37$  km.

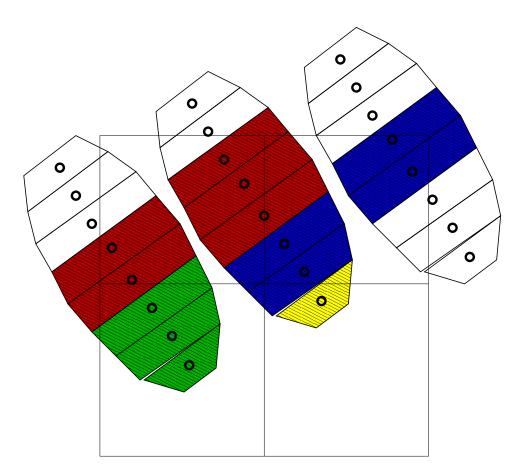


Figure 6: The slices for three pulses of a single flavor are shown along with four WVCs. The slices are shaded differently depending on which WVC contains the slice centroid. Slices with centroids outside the four WVCs are not shaded. All slices with the same shading contribute to the WVC-composite associated with that shading. Similarly all slices with the same shading from a single pulse contribute to the pulse-composites for that pulse and WVC.

which can be related to real geophysical effects. QuikSCAT will make it possible to study interannual variations in the radar response and identify long-term changes in the key surface features. The SeaWinds data products include the  $\sigma^0$  data over land and ice surfaces, as well as ocean, to help monitor these geographical areas.

## **3** Data Content and Format

The  $\sigma^0$  data are colocated and organized into WVCs. Wind ambiguities are retrieved for each WVC with sufficient  $\sigma^0$  data. Each WVC has an along track row number and a cross track cell number. These indices define a grid which follows the satellite track. For data storage purposes, WVCs are collected into WVC rows. A WVC row contains all  $\sigma^0$  and wind data for a given cross-track row or strip of WVCs. WVC rows with no  $\sigma^0$  data are not stored. Conceptually, WVC rows may be organized into revs. Thus each WVC in the entire QuikSCAT mission may be uniquely identified by rev, row and cell number—except for WVCs over Antartica in the SWS\_Sci (see Section 5.2).

The nominal measurement time for the  $\sigma^0$  data in the record is the UTC time tag (**wvc\_row\_time** [004001/6]), which roughly corresponds to the time that the spacecraft nadir crosses the midpoint of the WVC row. Note that for land and ice WVCs, the wind vector data are missing.

Letter codes **M**, **D**, **T**, **C**, and **A** are used to label list items in Sections 3.1 and 3.2, and to identify data elements in the tables of Section 3.4. **M** in square brackets indicates that the SIS data element definition is *modified* to be applied to the SWS\_Met MGDR. **D** indicates a data element must be *defined* (because it is not defined by the SIS). **T** indicates that a data element value is *translated* from the SWS\_Met MGDR value, **C** indicates that a data element is not present in the SWS\_Met MGDR but is *calculated* from information in the SWS\_Met MGDR, and **A** that it is *assigned* a constant value (possibly on the basis of **build\_id** [025060]).

#### 3.1 Modifications to the SIS Data Element Definitions

If a data element name used here is defined in either the Level 2A or Level 2B SIS then that definition is correct, with exceptions and modifications noted here. Definitions are also given below for any data element names not defined in the SIS.

All record elements associated with  $\sigma^0$  values are modified in the sense that the elements are WVC-composites instead of pulse-composites. As with the  $\sigma^0$  values, these other elements are also averaged or combined. These elements include those specifying the location, geometry, attenuation correction,  $K_p$  coefficients, and flags. Numeric values are averaged using "X-factors" as weights. For  $\sigma^0$ , this corresponds to summing the returned power. Flags are combined by logical or-ing—if any pulse-composite used in the averaging has a flag set, the WVC-composite has the corresponding flag set. However, data with flags indicating poor quality will, for the most part, be excluded from the averaging procedure. Details of the data processing are described in the Science Algorithm Specifications [2]. NOTE that (both pulse and WVC) compositing ignores surface type. Nothing special is done when combining  $\sigma^0$  values for composites straddling a land/sea or ice/sea boundary. These composites should not be used for wind retrieval. (Use **surface\_flag** [008018] to check for land or ice.)

In using the SIS definitions for the SWS\_Met MGDR, some SIS definitions require minor modifications, but only those affecting the SWS\_Met BUFR are listed here:

- [M] build\_id [025060] identifies the version of the SWS\_Met software.
- [M] cell\_azimuth [002112] is the weighted average of the azimuth angles of the pulsecomposites within the WVC.
- [M] cell\_incidence [002111] is the weighted average of the incidence angles of the pulsecomposites within the WVC.
- [M] num\_sigma0\_per\_cell [021103] maximum value is 4.
- [M] rev\_number [005040] is a record element, not a global attribute as in the SWS\_Sci. Data in the SWS\_Sci are assigned to a rev based on the actual time of the measurement; data in the SWS\_Met MGDR and SWS\_Met BUFR are assigned to a rev based on the associated wvc\_row\_time [004001/6]. For more details see Section 5.2.
- **[M] wind\_dir** [011011] for the selected index is replaced by the DIR solution.
- [M] wind\_speed [011012] for the selected index is replaced by the DIR solution.

There are no record elements added for the SWS\_Met MGDR. There are several header elements added, but only one affects the SWS\_Met BUFR:

**[D] geophysical\_model\_function** [021119] is the name of the model function used in the wind retrieval. The valid values include NSCAT-2.

#### 3.2 Creation of SWS\_Met BUFR Data Elements

Most SWS\_Met BUFR data elements are direct copies of SWS\_Met MGDR data elements. The rest are either translated SWS\_Met MGDR data elements, determined from SWS\_Met MGDR data elements, assigned constants, or not currently used. Note that **sigma0** [021105] and related fields are reordered by beam i.e. flavor.

Some of the SWS\_Met MGDR data elements are translated to agree with BUFR conventions or limitations.

- **[T] PlatformLongName** [01007] is translated from a character string a to numeric value.
- **[T] InstrumentShortName** [02048] is translated from a character string a to numeric value.

- **[T] wvc\_row\_time** [004001/6] is converted from a character string to numeric values for year, month, day, hour, minute, and second. Seconds are truncated to whole seconds.
- **[T] wvc\_longitude** [006002] is converted to the range (-180,180).
- **[T] build\_id** [025060] is translated from a character string a to numeric value.
- **[T] model\_dir** [011081] is converted to the standard meteorological convention.
- **[T] wind\_dir** [011011] is converted to the standard meteorological convention.
- **[T] cell\_longitude** [006002] is converted to the range (-180,180).
- **[T]** kp\_gamma [021114] is converted to dB.
- **[T] geophysical\_model\_function** [021119] is translated from a character string a to numeric value.
- [T] surface\_flag [008018], wvc\_quality\_flag [021109], sigma0\_quality\_flag [021115] and sigma0\_mode\_flag [021116] are translated to the BUFR bit convention. See more about BUFR bit flags in Section 3.

Some SWS\_Met BUFR data elements have similar elements defined in the SIS, but are not present in the SWS\_Met MGDR. Here we modify the definitions of these elements if necessary, and describe how the values for these elements are determined.

**[C] cell\_index** [006034] is determined by position within the SWS\_Met MGDR arrays.

**[MC]** num\_in\_for [021110] is set to one if  $\sigma^0$  data is present for the inner-beam, forward flavor, and set to zero otherwise. (Unlike the SIS definition, if set to one this does not imply that the data was used for wind retrieval.)

The same approach is taken for num\_out\_for [021111], num\_in\_aft [021112], and num\_out\_aft [021113].

There are several fields in the SWS\_Met BUFR which are created from the SWS\_Met MGDR which have no corresponding SIS definitions. Here we give them SIS-like names, define them briefly, and describe how they are determined from the SWS\_Met MGDR data elements, or otherwise assigned values.

**[DC] flight\_angle** [001012] is the direction of motion measured clockwise from north.

The **flight\_angle** [001012], denoted  $\eta$  is approximated as

 $\eta \approx \tan^{-1}(1/(\tan(\varphi)\cos(\phi))) + 2\pi,$ 

where  $\phi$  is the latitude for ascending orbit segments and  $\pi$  minus latitude for descending orbit segments, and  $\varphi$  is the orbit inclination angle. Latitude itself is approximated from **wvc\_row** [005034], denoted N here, so that:

$$\phi \approx 2\pi (N - 0.5)/1624 - \pi/2.$$

Here we assume  $25 \ km$  resolution (or 1624 rows for one full rev).

- **[DC] polarization** [002104] is 0 for horizontal polarization, 1 for vertical polarization, and is inferred from bit 3 **sigma0\_mode\_flag** [021116]. (See Section 5.6.)
- **[DC] time\_to\_edge** [004042] is the time difference from edge of processing segment.

Due to edge effects, data close to the edge may be incomplete or of poorer quality. (See Section 5.2.)

In addition SWS\_Met BUFR includes

**[DA] cross\_track\_resolution** [002026], a constant 25 km;

**[DA]** along\_track\_resolution [002027], a constant 25 km; and

**[DC]** sigma0\_variance\_QC [021117], currently a place holder.

#### 3.3 SWS\_Met BUFR Record Structure

Each SWS\_Met BUFR record is an independent package of data. Each record contains all observed data for an entire WVC row, which was available at the time of processing. If a given WVC contains no  $\sigma^0$  measurements (and therefore no wind measurements), the WVC is not encoded in BUFR. A BUFR record may contain data from 1-76 WVCs depending on the number of observations present.

Storage is allowed in the data record for each of the four flavors of  $\sigma^0$ , in the order—innerforeward, outer-foreward, inner-aft, outer-aft. For ocean cells containing sufficient  $\sigma^0$  data, up to four wind vector solutions (ambiguities) are given. The vectors are given in descending order of "likelihood" (goodness of fit to the model function), and the vector selected by the ambiguity removal algorithm is indicated by the entry in **wvc\_selection** [021102]. The technique used to perform the ambiguity removal is implied by **build\_id** [025060]. The default method is to use NWP wind field initialization, the vector median filter, and the direction interval retrieval (DIR) algorithm. When the DIR method is used, the selected ambiguity is replaced with the DIR solution. DIR spatially filters the directions of the chosen ambiguities, keeping within the directional intervals inferred during wind retrieval.

For each WVC, the data is organized as follows:

**Descriptors 1–25:** general WVC information.

**Descriptors 26–29:** WVC rain information.

Descriptors 30–49: ambiguous winds data. Space is allocated for four ambiguities.

**Descriptors 50–57:** Brightness temperature information. Space is allocated for horizontally and vertically polarized data.

**Descriptors 58–117:**  $\sigma^0$  data. Space is allocated for one WVC composite per flavor.

An example WVC is listed here by a BUFR tool created at the European Centre for Medium-Range Weather Prediction (ECMWF). Note that in the listing ~~~ indicates missing data.

1. 281 Satellite Identifier [CODE TABLE 1007] (01007) 351 Direction Of Motion Of Moving Observing Platform [DEGREE TRUE] (01012) 2. 8 Satellite Sensor Indicator [CODE TABLE 2048] (02048) 3. 4. 5 Wind Scatterometer Geophysical Model Function [CODE TABLE 21119] (21119) 5. 231 Software Indentification [NUMERIC] (25060) 6 25000 Cross Track Resolution [M] (02026) 7. 25000 Along Track Resolution [M] (02027) 3167 Orbit Number [NUMERIC] (05040) 8 9. 1138 Time Difference From Edge Of Processing Segment [SECOND] (04042) 10. 2000 Year [YEAR] (04001) 1 Month [MONTH] (04002) 11. 12. 27 Day [DAY] (04003) 20 Hour [HOUR] (04004) 13. 14. 45 Minute [MINUTE] (04005) 1 Second [SECOND] (04006) 15. 16. 5.03 Latitude (Coarse Accuracy) [DEGREE] (05002) 143.3 Longitude (Coarse Accuracy) [DEGREE] (06002) 17. 425 Along Track Row Number [NUMERIC] (05034) 18. 19. 67 Cross Track Cell Number [NUMERIC] (06034) 20. 0 Seawinds Wind Vector Cell Quality Flag [FLAG TABLE 21109] (21109) 21. 40.09 Model Wind Direction At 10 M [DEGREE TRUE] (11081) 22. 4.04 Model Wind Speed At 10 M [M/S] (11082) 23. 3 Number Of Vector Ambiguities [NUMERIC] (21101) 24. 2 Index Of Selected Wind Vector [NUMERIC] (21102) 4 Total Number Of Sigma-O Measurements [NUMERIC] (21103) 25. 26. 0.013 Seawinds Probability Of Rain [NUMERIC] (21120) 27. 47 Seawinds Nof Rain Index [NUMERIC] (21121) ~~~ Rain Rate [KG/M\*\*2HOUR] (13195) 28. ~~~ Attenuation Correction On Sigma-0 (From Tb) [dB] (21122) 29. 4.69 Wind Speed At 10 M [M/S] (11012) 30. 31. 0.71 Formal Uncertainty In Wind Speed [M/S] (11052) 50.82 Wind Direction At 10 M [DEGREE TRUE] (11011) 32. 33. 1.32 Formal Uncertainty In Wind Direction [DEGREE TRUE] (11053) 34. -0.219 Likelihood Computed For Wind Solution [NUMERIC] (21104) 5.48 Wind Speed At 10 M [M/S] (11012) 35. 36. 0.48 Formal Uncertainty In Wind Speed [M/S] (11052) 9.41 Wind Direction At 10 M [DEGREE TRUE] (11011) 37. 38. 1.34 Formal Uncertainty In Wind Direction [DEGREE TRUE] (11053) 39. -0.529 Likelihood Computed For Wind Solution [NUMERIC] (21104) 40. 5.55 Wind Speed At 10 M [M/S] (11012) 41. 0.67 Formal Uncertainty In Wind Speed [M/S] (11052) 42. 229.11 Wind Direction At 10 M [DEGREE TRUE] (11011) 43. 1.4 Formal Uncertainty In Wind Direction [DEGREE TRUE] (11053) 44. -0.726 Likelihood Computed For Wind Solution [NUMERIC] (21104) 45. ~~~ Wind Speed At 10 M [M/S] (11012) ~~~ Formal Uncertainty In Wind Speed [M/S] (11052) 46.

~~~ Wind Direction At 10 M [DEGREE TRUE] (11011) 47. ~~~ Formal Uncertainty In Wind Direction [DEGREE TRUE] (11053) 48. ~~~ Likelihood Computed For Wind Solution [NUMERIC] (21104) 49. ~~~ Antenna Polarisation [CODE TABLE 50. 2104] (02104) 51. ~~~ Total Number(With Respect To Accumulation Or Average) [NUMERIC] (08022) ~~~ Brightness Temperature [K] (12063) 52. ~~~ Standard Deviation Brightness Temperature [K] (12065) 53. ~~~ Antenna Polarisation [CODE TABLE 2104] (02104) 54. ~~~ Total Number(With Respect To Accumulation Or Average) [NUMERIC] (08022) 55. ~~~ Brightness Temperature [K] (12063) 56. ~~~ Standard Deviation Brightness Temperature [K] (12065) 57. 1 Number Of Inner-Beam Sigma-O (Forward Of Satellite) [NUMERIC] (21110) 58. 59. 5.02 Latitude (Coarse Accuracy) [DEGREE] (05002) 143.3 Longitude (Coarse Accuracy) [DEGREE] (06002) 60. 61. 0.3 Attenuation Correction On Sigma-0 [dB] (21118) 62. 74.34 Radar Look Angle [DEGREE] (02112) 63. 46.38 Radar Incidence Angle [DEGREE] (02111) 64. O Antenna Polarisation [CODE TABLE 2104] (02104) 65. -26.65 Seawinds Normalized Radar Cross Section [dB] (21123) 66. 1.004 Kp Variance Coefficient (Alpha) [NUMERIC] (21106) 67. 2.42e-06 Kp Variance Coefficient (Beta) [NUMERIC] (21107) -88.34 Kp Variance Coefficient (Gamma) [dB] (21114) 68. 0 Seawinds Sigma-0 Quality Flag [FLAG TABLE 21115] (21115) 69. 70. 768 Seawinds Sigma-O Mode Flag [FLAG TABLE 21116] (21116) 71. O Seawinds Land/Ice Surface Flag [FLAG TABLE 8018] (08018) ~~~ Sigma-O Variance Quality Control [NUMERIC] (21117) 72. 73. 1 Number Of Outer-Beam Sigma-O (Forward Of Satellite) [NUMERIC] (21111) 74. 5.04 Latitude (Coarse Accuracy) [DEGREE] (05002) 143.3 Longitude (Coarse Accuracy) [DEGREE] (06002) 75. 76. 0.3 Attenuation Correction On Sigma-0 [dB] (21118) 77. 39.68 Radar Look Angle [DEGREE] (02112) 78. 53.98 Radar Incidence Angle [DEGREE] (02111) 1 Antenna Polarisation [CODE TABLE 79. 2104] (02104) 80. -24.17 Seawinds Normalized Radar Cross Section [dB] (21123) 81. 1.008 Kp Variance Coefficient (Alpha) [NUMERIC] (21106) 82. 8.53e-06 Kp Variance Coefficient (Beta) [NUMERIC] (21107) 83. -83.41 Kp Variance Coefficient (Gamma) [dB] (21114) 84. 0 Seawinds Sigma-0 Quality Flag [FLAG TABLE 21115] (21115) 85. 8960 Seawinds Sigma-O Mode Flag [FLAG TABLE 21116] (21116) 86. O Seawinds Land/Ice Surface Flag [FLAG TABLE 8018] (08018) ~~~ Sigma-O Variance Quality Control [NUMERIC] (21117) 87. 88. 1 Number Of Inner-Beam Sigma-0 (Aft Of Satellite) [NUMERIC] (21112) 89. 5.01 Latitude (Coarse Accuracy) [DEGREE] (05002) 90. 143.29 Longitude (Coarse Accuracy) [DEGREE] (06002) 0.3 Attenuation Correction On Sigma-0 [dB] (21118) 91. 92. 87.28 Radar Look Angle [DEGREE] (02112) 93. 46.58 Radar Incidence Angle [DEGREE] (02111) 94. O Antenna Polarisation [CODE TABLE 2104] (02104) 95. -27.39 Seawinds Normalized Radar Cross Section [dB] (21123) 1.006 Kp Variance Coefficient (Alpha) [NUMERIC] (21106) 96. 97. 5.91e-06 Kp Variance Coefficient (Beta) [NUMERIC] (21107)

```
98.
      -84.051 Kp Variance Coefficient (Gamma) [dB] (21114)
           0 Seawinds Sigma-0 Quality Flag [FLAG TABLE 21115] (21115)
99.
100.
        4864 Seawinds Sigma-O Mode Flag [FLAG TABLE 21116] (21116)
101.
           O Seawinds Land/Ice Surface Flag [FLAG TABLE
                                                           8018] (08018)
         ~~~ Sigma-O Variance Quality Control [NUMERIC] (21117)
102.
           1 Number Of Outer-Beam Sigma-0 (Aft Of Satellite) [NUMERIC] (21113)
103.
        5.04 Latitude (Coarse Accuracy) [DEGREE] (05002)
104.
105.
      143.33 Longitude (Coarse Accuracy) [DEGREE] (06002)
106.
         0.3 Attenuation Correction On Sigma-0 [dB] (21118)
107.
      116.15 Radar Look Angle [DEGREE] (02112)
108.
       53.99 Radar Incidence Angle [DEGREE] (02111)
           1 Antenna Polarisation [CODE TABLE
   2104] (02104)
109.
      -26.64 Seawinds Normalized Radar Cross Section [dB] (21123)
110.
       1.008 Kp Variance Coefficient (Alpha) [NUMERIC] (21106)
111.
112.
      7.24e-06 Kp Variance Coefficient (Beta) [NUMERIC] (21107)
113.
      -84.847 Kp Variance Coefficient (Gamma) [dB] (21114)
114.
           0 Seawinds Sigma-0 Quality Flag [FLAG TABLE 21115] (21115)
115.
       13056 Seawinds Sigma-O Mode Flag [FLAG TABLE 21116] (21116)
           0 Seawinds Land/Ice Surface Flag [FLAG TABLE
116.
   8018] (08018)
117.
         ~~~ Sigma-O Variance Quality Control [NUMERIC] (21117)
```

## 3.4 SWS\_Met BUFR Table B Descriptors

The previously defined Table B descriptors required are listed in Table 2. Some SWS\_Met MGDR elements have greater or lesser precision than is allowed for by the BUFR tables. To accomodate these data, we use BUFR operator descriptors (of the form 2nnnn) to temporarily change the scale and data width of certain elements. The scale and data widths after this modification are listed in Table 2 and are marked by a asterisk (\*).

The new Table B descriptors required are listed in Tables 3 and 4. In all three tables, the last column, labeled "Source" indicates the corresponding SIS data element. The SIS data element name may be followed by square brackets containing one or more letter codes. These letter codes are defined at the start of Section 3 and indicate changes relative to the SIS. By implication if no code is noted than the SIS definitions apply directly.

Three previously existing code tables are referenced. New codes have been added to two.

- Code Table 001007, indicating the **PlatformLongName** [001007] or "SATELLITE IDENTIFIER" is given by Table 5. New codes are 280=ADEOS-1, 281=QuikSCAT, and 282=ADEOS-2.
- Code Table 002048, indicating the InstrumentShortName [002048] or "SATELLITE SENSOR INDICATOR" is defined by Table 6. New codes are 7=NSCAT and 8=Sea-Winds.
- Code Table 002104, indicating the **polarization** [002104] or "ANTENNA POLARISA-TION" is defined by Table 7.

Four new flag tables and one new code table have been defined.

- Flag Table 008018, defining the bits in **surface\_flag** [008018], is defined by Table 8.
- Flag Table 021109, defining the bits in **wvc\_quality\_flag** [021109], is defined by Table 9.
- Flag Table 021115, defining the bits in **sigma0\_quality\_flag** [021115], is defined by Table 10.
- Flag Table 021116, defining the bits in **sigma0\_mode\_flag** [021116], is defined by Table 11.
- Code Table 021119, indicating the **geophysical\_model\_function** [021119] is defined by Table 12.

Note that the SIS numbers bits starting with 0 for the least significant, but the BUFR convention starts with 1 for the most significant. However, bit order is reversed for these elements during translation. See Section 5.1 for more about BUFR bit flags.

| Desc.  | Text description                                      | Units       | Scale   | Offset | $\operatorname{Bits}$ | Source                                  |
|--------|-------------------------------------------------------|-------------|---------|--------|-----------------------|-----------------------------------------|
| 001007 | SATELLITE IDENTIFIER                                  | CODE TABLE  | 0       | 0      | 10                    | PlatformLongName<br>[T]                 |
| 001012 | DIRECTION OF MOTION OF MOVING OB-<br>SERVING PLATFORM | DEGREE TRUE | 0       | 0      | 6                     | flight_angle [DC]                       |
| 002104 | ANTENNA POLARISATION                                  | CODE TABLE  | 0       | 0      | 4                     | polarization [DC]                       |
| 002111 | RADAR INCIDENCE ANGLE                                 | DEGREE      | 2*      | 0      | $13^{*}$              | cell_incidence [M]                      |
| 002112 | RADAR LOOK ANGLE                                      | DEGREE      | 2*      | 0      | $16^{*}$              | cell_azimuth [M]                        |
| 002026 | CROSS TRACK RESOLUTION                                | Μ           | -2*     | 0      | 12                    | 25000 [DA]                              |
| 002027 | ALONG TRACK RESOLUTION                                | Μ           | -2*     | 0      | 12                    | 25000 [DA]                              |
| 002048 | SATELLITE SENSOR INDICATOR                            | CODE TABLE  | 0       | 0      | 4                     | InstrumentShort-<br>Name [T]            |
| 004001 | YEAR                                                  | NUMERIC     | 0       | 0      | 12                    | wvc_row_time [T]                        |
| 004002 | HLNOW                                                 | NUMERIC     | 0       | 0      | 4                     |                                         |
| 004003 | DAY                                                   | NUMERIC     | 0       | 0      | 9                     |                                         |
| 004004 | HOUR                                                  | NUMERIC     | 0       | 0      | 5                     |                                         |
| 004005 | MINUTE                                                | NUMERIC     | 0       | 0      | 9                     |                                         |
| 004006 | SECOND                                                | NUMERIC     | 0       | 0      | 9                     |                                         |
| 005002 | LATITUDE (COARSE ACCURACY)                            | DEGREE      | 2       | -9000  | 15                    | wvc_latitude                            |
|        |                                                       |             |         |        |                       | cell_latitude                           |
| 005040 | ORBIT NUMBER                                          | NUMERIC     | 0       | 0      | 24                    | rev_number [M]                          |
| 006002 | LONGITUDE (COARSE ACCURACY)                           | DEGREE      | 2       | -18000 | 16                    | wvc_longitude [T]<br>cell_longitude [T] |
| 008022 | TOTAL NUMBER(WITH RESPECT TO                          | NUMERIC     | 0       | 0      | 16                    | num_tb_h                                |
|        | ACCUMULATION OR AVERAGE)                              |             |         |        |                       | num_tb_v                                |
| 011011 | WIND DIRECTION AT 10 M                                | DEGREE TRUE | 2*      | 0      | $16^{*}$              | wind_dir [MT]                           |
| 011012 | WIND SPEED AT 10 M                                    | M/S         | $2^{*}$ | 0      | $14^{*}$              | wind_speed [M]                          |
| 012063 | BRIGHTNESS TEMPERATURE                                | Κ           | 1       | 0      | 12                    | tb_mean_h                               |
|        |                                                       |             |         |        |                       | tb_mean_v                               |
| 013195 | RAIN RATE                                             | KG/M**2HOUR | $2^{*}$ | 0      | $13^{*}$              | tb_rain_rate                            |
| 025060 | SOFTWARE IDENTIFICATION                               | NUMERIC     | 0       | 0      | 14                    | build_[MT]                              |

Table 2: Previously existing Table B descriptors.

|                       |                                                      |                        |                         |                                |                                  |                                   |      |                              |                                   |                               |             |                                       | •                                      | -                                |       |                                            |                                 |                                |
|-----------------------|------------------------------------------------------|------------------------|-------------------------|--------------------------------|----------------------------------|-----------------------------------|------|------------------------------|-----------------------------------|-------------------------------|-------------|---------------------------------------|----------------------------------------|----------------------------------|-------|--------------------------------------------|---------------------------------|--------------------------------|
| Source                | time_to_edge [DC]                                    | WVC_row                | cell_index [C]          | surface_flag [T]               | wind_speed_err                   | wind_dir_err                      |      | model_dir [T]                | model_speed                       | tb_stddev_h                   | tb_stddev_v | num_ambigs                            | wvc_selection                          | num_sigma0_per_                  | cell  | max_likelihood_est                         | kp_alpha                        | kp_beta                        |
| $\operatorname{Bits}$ | 14                                                   | 11                     | 7                       | 17                             | 13                               | 15                                |      | 16                           | 14                                | 12                            |             | 3                                     | 3                                      | 5                                |       | 15                                         | 14                              | 16                             |
| Scale Offset          | 0                                                    | 0                      | 0                       | 0                              | 0                                | 0                                 |      | 0                            | 0                                 | 0                             |             | 0                                     | 0                                      | 0                                |       | -30000                                     | 0                               | 0                              |
| Scale                 | 0                                                    | 0                      | 0                       | 0                              | 2                                | 2                                 |      | 2                            | 2                                 | 1                             |             | 0                                     | 0                                      | 0                                |       | c,                                         | 3                               | 8                              |
| $\mathbf{Units}$      | SECOND                                               | NUMERIC                | NUMERIC                 | FLAG TABLE                     | S/M                              | DEGREE TRUE                       |      | DEGREE TRUE                  | S/M                               | Х                             |             | NUMERIC                               | NUMERIC                                | NUMERIC                          |       | NUMERIC                                    | NUMERIC                         | NUMERIC                        |
| Text description      | TIME DIFFERENCE FROM EDGE OF PRO-<br>CESSING SEGMENT | ALONG TRACK ROW NUMBER | CROSS TRACK CELL NUMBER | SEAWINDS LAND/ICE SURFACE TYPE | FORMAL UNCERTAINTY IN WIND SPEED | FORMAL UNCERTAINTY IN WIND DIREC- | NOIL | MODEL WIND DIRECTION AT 10 M | 011082   MODEL WIND SPEED AT 10 M | STANDARD DEVIATION BRIGHTNESS | TEMPERATURE | 021101   NUMBER OF VECTOR AMBIGUITIES | 021102   INDEX OF SELECTED WIND VECTOR | TOTAL NUMBER OF SIGMA-0 MEASURE- | MENTS | LIKELIHOOD COMPUTED FOR WIND SOLU-<br>TION | Kp VARIANCE COEFFICIENT (ALPHA) | Kp VARIANCE COEFFICIENT (BETA) |
| Desc.                 | 004042                                               | 005034                 | 006034                  | 008018                         | 011052                           | 011053                            |      | 011081                       | 011082                            | 012065                        |             | 021101                                | 021102                                 | 021103                           |       | 021104                                     | 021106                          | 021107                         |

Table 3: New Table B descriptors.

| Text description                                                  |       | Units      | Scale | Offset  | Bits | Source                              |
|-------------------------------------------------------------------|-------|------------|-------|---------|------|-------------------------------------|
| D VECTOR CELL QUALITY                                             |       | FLAG TABLE | 0     | 0       | 17   | wvc_quality_flag [T]                |
| 021110   NUMBER OF INNER-BEAM SIGMA-0 (FOR-<br>WARD OF SATELLITE) |       | NUMERIC    | 0     | 0       | 0    | num_n_tor [MC]                      |
| NUMBER OF OUTER-BEAM SIGMA-0 (FOR-<br>WARD OF SATELLITE)          |       | NUMERIC    | 0     | 0       | 9    | num_out_for [MC]                    |
| NUMBER OF INNER-BEAM SIGMA-0 (AFT OF SATELLITE)                   |       | NUMERIC    | 0     | 0       | 9    | num_in_aft [MC]                     |
| 021113   NUMBER OF OUTER-BEAM SIGMA-0 (AFT<br>  OF SATELLITE)     |       | NUMERIC    | 0     | 0       | 9    | num_out_aft [MC]                    |
| Kp VARIANCE COEFFICIENT (GAMMA)                                   | (1    | dB         | 3     | -140000 | 18   | kp_gamma [T]                        |
| IA-0 QUALITY                                                      |       | FLAG TABLE | 0     | 0       | 17   | sigma0_quality_flag<br>[T]          |
|                                                                   |       | FLAG TABLE | 0     | 0       | 17   | sigma0_mode_flag<br>[T]             |
| SIGMA-0 VARIANCE QUALITY CONTROL                                  | OL    | NUMERIC    | 2     | 0       | 16   | sigma0_variance_<br>QC [DC]         |
| CORRECTION ON SIGMA-0                                             | MA-0  | dB         | 2     | -10000  | 14   | sigma0_atten_map                    |
| WIND SCATTEROMETER GEOPHYSICAL<br>MODEL FUNCTION                  | SICAL | CODE TABLE | 0     | 0       | 9    | geophysical_model_<br>function [DT] |
| BABILITY OF RAIN                                                  |       | NUMERIC    | 3     | 0       | 10   | mp_rain_probability                 |
| RAIN INDEX                                                        |       | NUMERIC    | 0     | 0       | 8    | nof_rain_index                      |
| CORRECTION ON SIGMA-0                                             |       | dB         | 2     | -10000  | 14   | tb_attenuation                      |
| NORMALIZED RADAR CROSS                                            | ROSS  | dB         | 2     | -30000  | 15   | sigma0                              |

Table 4: New Table B descriptors continued. 

| Code figure | Meaning    | Code figure | Meaning       |
|-------------|------------|-------------|---------------|
| 1           | ERS1       | 241         | DMSP 8        |
| 2           | ERS2       | 242         | DMSP 9        |
| 20          | SPOT1      | 243         | DMSP 10       |
| 21          | SPOT2      | 244         | DMSP 11       |
| 50          | METEOSAT   | 250         | GOES 6        |
| 51          | METEOSAT 4 | 251         | GOES 7        |
| 150         | GMS 3      | 252         | GOES 8        |
| 151         | GMS 4      | 253         | GOES 9        |
| 200         | NOAA 8     | 254         | GOES 10       |
| 201         | NOAA 9     | 255         | GOES 11       |
| 202         | NOAA 10    | 256         | GOES 12       |
| 203         | NOAA 11    | 280         | ADEOS1        |
| 220         | LANDSAT 5  | 281         | QUIKSCAT      |
| 221         | LANDSAT 6  | 282         | ADEOS2        |
| 240         | DMSP 7     | 1023        | MISSING VALUE |

Table 5: Code Table 001007: translated from **PlatformLongName** [001007].

Table 6: Code Table 002048: translated from InstrumentShortName [002048].

| Code figure | Meaning | Code figure | Meaning       |
|-------------|---------|-------------|---------------|
| 0           | HIRS    | 6           | SSMI          |
| 1           | MSU     | 7           | NSCAT         |
| 2           | SSU     | 8           | SEAWINDS      |
| 3           | AMSU-A  | 9-14        | RESERVED      |
| 4           | AMSU-B  | 15          | MISSING VALUE |
| 5           | AVHRR   |             |               |

Table 7: Code Table 002104: Polarization (translated from sigma0\_mode\_flag [002104]).

| Code figure | Meaning                              |
|-------------|--------------------------------------|
| 0           | HORIZONTAL POLARISATION              |
| 1           | VERTICAL POLARISATION                |
| 2           | RIGHT CIRCULAR POLARISATION          |
| 3           | LEFT CIRCULAR POLARISATION           |
| 4           | HORIZONTAL AND VERTICAL POLARISATION |
| 5           | RIGHT AND LEFT CIRCULAR POLARISATION |
| 15          | MISSING VALUE                        |

| Bit number | Meaning                                  |
|------------|------------------------------------------|
| 1          | LAND IS PRESENT                          |
| 2          | SURFACE ICE MAP INDICATES ICE IS PRESENT |
| 3-10       | RESERVED                                 |
| 11         | ICE MAP DATA NOT AVAILABLE               |
| 12         | ATTENUATION MAP DATA NOT AVAILABLE       |
| 13-16      | RESERVED                                 |
| All 17     | MISSING VALUE                            |

Table 8: Flag Table 008018: **surface\_flag** [008018].

Table 9: Flag Table 021109: wvc\_quality\_flag [021109].

| Bit number | Meaning                                       |
|------------|-----------------------------------------------|
| 1          | NOT ENOUGH GOOD SIGMA-0 AVAILABLE FOR WIND    |
|            | RETRIEVAL                                     |
| 2          | POOR AZIMUTH DIVERSITY AMONG SIGMA-0 FOR      |
|            | WIND RETRIEVAL                                |
| 3-7        | RESERVED                                      |
| 8          | SOME PORTION OF WIND VECTOR CELL IS OVER LAND |
| 9          | SOME PORTION OF WIND VECTOR CELL IS OVER ICE  |
| 10         | WIND RETRIEVAL NOT PERFORMED FOR WIND VEC-    |
|            | TOR CELL                                      |
| 11         | REPORTED WIND SPEED IS GREATER THAN 30 M/S    |
| 12         | REPORTED WIND SPEED IS LESS THAN 3 M/S        |
| 13         | MULTI-PARAMETER RAIN PROBABILITY RAIN FLAG IS |
|            | NOT USABLE                                    |
| 14         | MULTI-PARAMETER RAIN PROBABILITY DETECTS      |
|            | RAIN                                          |
| 15         | NORMALIZED OBJECTIVE FUNCTION RAIN FLAG IS    |
|            | NOT USABLE                                    |
| 16         | NORMALIZED OBJECTIVE FUNCTION DETECTS RAIN    |
| All 17     | MISSING VALUE                                 |

Table 10: Flag Table 021115: sigma0\_quality\_flag [021115].

| Bit number | Meaning                                       |
|------------|-----------------------------------------------|
| 1          | SIGMA-0 MEASUREMENT IS NOT USABLE             |
| 2          | SIGNAL TO NOISE RATIO IS LOW                  |
| 3          | SIGMA-0 IS NEGATIVE                           |
| 4          | SIGMA-0 IS OUTSIDE OF ACCEPTABLE RANGE        |
| 5          | SCATTEROMETER PULSE QUALITY IS NOT ACCEPT-    |
|            | ABLE                                          |
| 6          | SIGMA-0 CELL LOCATION ALGORITHM DOES NOT CON- |
|            | VERGE                                         |
| 7          | FREQUENCY SHIFT LIES BEYOND THE RANGE OF THE  |
|            | X FACTOR TABLE                                |
| 8          | SPACECRAFT TEMPERATURE IS BEYOND CALIBRA-     |
|            | TION COEFFICIENT RANGE                        |
| 9          | NO APPLICABLE ATTITUDE RECORDS WERE FOUND     |
|            | FOR THIS SIGMA-0                              |
| 10         | INTERPOLATED EPHEMERIS DATA ARE NOT ACCEPT-   |
|            | ABLE FOR THIS SIGMA-0                         |
| 11-16      | RESERVED                                      |
| All 17     | MISSING VALUE                                 |

Table 11: Flag Table 021116: **sigma0\_mode\_flag** [021116]. Refer to the Level 2A SIS [16] for definitions of multi-bit fields.

| Bit number | Meaning                                     |
|------------|---------------------------------------------|
| 1          | CALIBRATION/MEASUREMENT PULSE FLAG (1)      |
| 2          | CALIBRATION/MEASUREMENT PULSE FLAG (2)      |
| 3          | OUTER ANTENNA BEAM                          |
| 4          | SIGMA-0 CELL IS AFT OF SPACECRAFT           |
| 5          | CURRENT MODE (1)                            |
| 6          | CURRENT MODE (2)                            |
| 7          | EFFECTIVE GATE WIDTH - SLICE RESOLUTION (1) |
| 8          | EFFECTIVE GATE WIDTH - SLICE RESOLUTION (2) |
| 9          | EFFECTIVE GATE WIDTH - SLICE RESOLUTION (3) |
| 10         | LOW RESOLUTION MODE - WHOLE PULSE DATA      |
| 11         | SCATTEROMETER ELECTRONIC SUBSYSTEM B        |
| 12         | ALTERNATE SPIN RATE - 19.8 RPM              |
| 13         | RECEIVER PROTECTION ON                      |
| 14         | SLICES PER COMPOSITE FLAG $(1)$             |
| 15         | SLICES PER COMPOSITE FLAG (2)               |
| 16         | SLICES PER COMPOSITE FLAG (3)               |
| All 17     | MISSING VALUE                               |

Table 12: Code Table 021119: geophysical\_model\_function [021119].

| Code figure | Meaning  | Code figure | Meaning       |
|-------------|----------|-------------|---------------|
| 0           | RESERVED | 8-30        | RESERVED      |
| 1           | SASS     | 31          | CMOD1         |
| 2           | SASS2    | 32          | CMOD2         |
| 3           | NSCAT0   | 33          | CMOD3         |
| 4           | NSCAT1   | 34          | CMOD4         |
| 5           | NSCAT2   | 35          | CMOD5         |
| 6           | QSCAT0   | 36-63       | RESERVED      |
| 7           | QSCAT1   | 64          | MISSING VALUE |

## 3.5 SWS\_Met BUFR Table D Descriptors

New Table D descriptors (common sequences) for ambiguous wind data,  $\sigma^0$  data and the entire subset have been defined. As a result, a WVC is represented by one Table D descriptor: 312028. This one descriptor expands as follows:

| 312028 23<br>301046 | 10<br>001007<br>001012<br>002048<br>021119<br>025060<br>202124<br>002026<br>002027<br>202000<br>005040 | DIRECTION OF MOTION OF MOVING OBSERVING PLATFORM<br>SATELLITE SENSOR INDICATOR<br>WIND SCATTEROMETER GEOPHYSICAL MODEL FUNCTION<br>SOFTWARE INDENTIFICATION<br>*** decrease scaling by 10^4<br>ALONG TRACK RESOLUTION<br>CROSS TRACK RESOLUTION<br>*** cancel change scaling |
|---------------------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 004042              |                                                                                                        | TIME DIFFERENCE FROM EDGE OF PROCESSING SEGMENT                                                                                                                                                                                                                              |
| 301011              |                                                                                                        | Date information                                                                                                                                                                                                                                                             |
|                     | 004001                                                                                                 | YEAR                                                                                                                                                                                                                                                                         |
|                     | 004002                                                                                                 | MONTH                                                                                                                                                                                                                                                                        |
|                     | 004003                                                                                                 | DAY                                                                                                                                                                                                                                                                          |
| 301013              | 3                                                                                                      | Time information                                                                                                                                                                                                                                                             |
|                     | 004004                                                                                                 | HOUR                                                                                                                                                                                                                                                                         |
|                     | 004005                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 004006                                                                                                 |                                                                                                                                                                                                                                                                              |
| 301023              |                                                                                                        | Position information                                                                                                                                                                                                                                                         |
|                     | 005002                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 006002                                                                                                 |                                                                                                                                                                                                                                                                              |
| 312031              |                                                                                                        | Seawinds WVC information                                                                                                                                                                                                                                                     |
|                     | 005034                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 006034                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 021109<br>011081                                                                                       | QSCAT WIND VECTOR CELL QUALITY FLAG<br>MODEL WIND DIRECTION AT 10 M                                                                                                                                                                                                          |
|                     | 011081                                                                                                 | MODEL WIND DIRECTION AT 10 M<br>MODEL WIND SPEED AT 10 M                                                                                                                                                                                                                     |
|                     | 011082                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 021101                                                                                                 | INDEX OF SELECTED WIND VECTOR                                                                                                                                                                                                                                                |
|                     | 021102                                                                                                 | TOTAL NUMBER OF SIGMA-O MEASUREMENTS                                                                                                                                                                                                                                         |
| 312032              |                                                                                                        | Seawinds Rain Flagging Information                                                                                                                                                                                                                                           |
| 012002              | 021120                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 021121                                                                                                 |                                                                                                                                                                                                                                                                              |
|                     | 201136                                                                                                 | *** increase data width by 8 bits                                                                                                                                                                                                                                            |
|                     | 202130                                                                                                 | *** increase scaling by 10^2                                                                                                                                                                                                                                                 |
|                     | 013195                                                                                                 | RAIN RATE                                                                                                                                                                                                                                                                    |
|                     | 202000                                                                                                 | *** cancel change scaling                                                                                                                                                                                                                                                    |
|                     | 201000                                                                                                 | *** cancel change data width                                                                                                                                                                                                                                                 |
|                     | 021122                                                                                                 | ATTENUATION CORRECTION ON SIGMA-O (FROM Tb)                                                                                                                                                                                                                                  |
| 101004              |                                                                                                        | *** replicate 1 descriptor 4 times                                                                                                                                                                                                                                           |
| 312030              |                                                                                                        | Seawinds ambiguous wind data                                                                                                                                                                                                                                                 |
|                     | 201130                                                                                                 | *** increase data width by 2 bits                                                                                                                                                                                                                                            |

| 000100         |                                                       |
|----------------|-------------------------------------------------------|
| 202129         | *** increase scaling by 10 <sup>1</sup>               |
| 011012         |                                                       |
| 202000         | *** cancel change scaling                             |
| 201000         | *** cancel change data width                          |
| 011052         | FORMAL UNCERTAINTY IN WIND SPEED                      |
| 201135         | *** increase data width by 7 bits                     |
| 202130         | *** increase scaling by 10^2                          |
| 011011         | WIND DIRECTION AT 10 M                                |
| 202000         | *** cancel change scaling                             |
| 201000         | *** cancel change data width                          |
| 011053         | FORMAL UNCERTAINTY IN WIND DIRECTION                  |
| 021104         | LIKELIHOOD COMPUTED FOR SOLUTION                      |
| 101002         | <pre>*** replicate 1 descriptor 2 times</pre>         |
| 312033 4       | Seawinds Brightness Temp. Information                 |
| 002104         | ANTENNA POLARISATION                                  |
| 008022         | TOTAL NUMBER(WITH RESPECT TO ACCUMULATION OR AVERAGE) |
| 012063         | BRIGHTNESS TEMPERATURE                                |
| 012065         | STANDARD DEVIATION BRIGHTNESS TEMPERATURE             |
| 021110         | NUMBER OF INNER-BEAM SIGMA-0 (FORWARD OF SATELLITE)   |
| 301023 (above) | Position information                                  |
| 321027 18      | SeaWinds Sigma-O data                                 |
| 021118         | ATTENUATION CORRECTION ON SIGMA-O                     |
| 202129         | *** increase scaling by 10^1                          |
| 201132         | *** increase data width by 4 bits                     |
| 002112         | -                                                     |
| 201000         | *** cancel change data width                          |
| 201131         | *** increase data width by 3 bits                     |
| 002111         | RADAR INCIDENCE ANGLE                                 |
| 201000         | *** cancel change data width                          |
| 202000         | *** cancel change scaling                             |
| 002104         | ANTENNA POLARISATION                                  |
| 021123         | SEAWINDS NORMALIZED RADAR CROSS SECTION               |
| 021106         | Kp VARIANCE COEFFICIENT (ALPHA)                       |
| 021107         | Kp VARIANCE COEFFICIENT (BETA)                        |
| 021114         | Kp VARIANCE COEFFICIENT (GAMMA)                       |
| 021115         | SEAWINDS SIGMA-O QUALITY FLAG                         |
| 021116         | SEAWINDS SIGMA-O MODE FLAG                            |
| 008018         | SEAWINDS LAND/ICE SURFACE FLAG                        |
| 021117         | SIGMA-O VARIANCE QUALITY CONTROL                      |
| 021111         | NUMBER OF OUTER-BEAM SIGMA-0 (FORWARD OF SATELLITE)   |
| 301023 (above) | Position information                                  |
| 321027 (above) | SeaWinds Sigma-O data                                 |
| 021112         | NUMBER OF INNER-BEAM SIGMA-O (AFT OF SATELLITE)       |
| 301023 (above) | Position informationlat/lon                           |
| 321027 (above) | SeaWinds Sigma-O data                                 |
| 021113         | NUMBER OF OUTER-BEAM SIGMA-O (AFT OF SATELLITE)       |
| 301023 (above) | Position information                                  |
| 321027 (above) | SeaWinds Sigma-O data                                 |
|                | -                                                     |

## 4 Reading SWS\_Met BUFR Data Files

## 4.1 Reading SWS\_Met BUFR Records

The World Meteorological Organization (WMO) sets the standards for BUFR data, and therefore, BUFR data may be read and decoded in any way that conforms to the WMO standard. The ECMWF BUFR software suite is used here to illustrate reading and decoding BUFR records.

BUFR records are conveniently read by C routines since the data are bit-oriented, not FORTRAN word or record-oriented. After opening the file, these routines search for BUFR record delimiters ("BUFR" and "7777") in the input file stream and return one unexpanded record. An unexpanded record may be compressed and contains unscaled values.

The following are example calls to open a BUFR file and read one BUFR record.

CALL PBOPEN(IUNIT, INFILE, 'R', IRET) CALL PBBUFR(IUNIT, KBUFF, JBYTE, KBUFL, IRET)

where, for **PBOPEN** 

- IUNIT output integer unit number for the file
- INFILE input character file name of input BUFR file with no trailing blanks
- 'R' input character specifies "read only"
- IRET output integer 0 if a BUFR file has been successfully opened

and for PBBUFR,

- IUNIT input integer unit number for the file returned from PBOPEN
- KBUFF output integer FORTRAN array big enough to hold the BUFR product
- JBYTE input integer size in BYTES of the FORTRAN array
- KBUFL output integer size in BYTES of the BUFR product read
- IRET output integer 0 if a BUFR product has been successfully read

## 4.2 Decoding SWS\_Met BUFR Records

Once a BUFR record has been read, it must be expanded from a bit stream to real values. An unexpanded BUFR product is decoded in two stages. First, sections 0-2 are decoded to determine the total length of the record and number of subsets. Next, this information and the unexpanded record are passed to BUFREX which decodes the entire BUFR record into fully expanded form; returning information relevant for all BUFR sections, expanded values, their names and units. BUFREX also uncompresses the record if the input record is compressed.

The following is an example of decoding one BUFR record in two stages.

```
CALL BUS012(KBUFL,KBUFF,KSUP,KSEC0,KSEC1,KSEC2,KERR)
CALL BUFREX(KBUFL,KBUFF,KSUP,KSEC0,KSEC1,KSEC2,
1 KSEC3,KSEC4,KEL,CNAMES,CUNITS,KVALS,
2 VALUES,CVALS,KERR)
```

where, for BUS012,

- KBUFL input integer length of BUFR record in words
- KBUFF input integer array containing BUFR record
- KSUP output integer array containing supplementary information
- KSEC0, KSEC1, KSEC2 output integer array containing BUFR information from sections 0-2
- KERR output integer 0 if sections 0-2 were decoded successfully

and for  $\tt BUFREX,$  similar definitions for the first six and last arguments as in  $\tt BUS012$  with the addition of

- KSEC3, KSEC4 output integer array containing BUFR information from sections 3, and 4
- KEL input integer expected number of expanded elements
- CNAMES, CUNITS output character arrays containing names and units of KEL elements
- KVALS input integer expected number of data values
- VALUES output real array of data values
- CVALS output character array containing full Table B entries

For more information on the BUFR standard, see WMO [19]. For more information on the ECMWF BUFR software suite, see Dragosavac [1].

# 5 Using SeaWinds Data

When using SWS\_Met data files, it should be remembered that WVC 1-38 always represent data taken on the left side of the spacecraft and WVC 39-76 include data from the right side of the spacecraft. Also the wind ambiguities are always ordered by descending likelihood, and the  $\sigma^0$  values are ordered by flavors—inner-foreward, outer-foreward, inner-aft, outer-aft.

### 5.1 Missing Values and Flags

Zero is a valid value for most SeaWinds data elements. The SWS\_Sci and SWS\_Met MGDR set missing or null values to zero. To determine which elements are missing, one must use information in various flags and key data elements as described in Section "1.6.8 Null Values" in each of the SIS [15, 16, 17]. This information is summarized below. The translation to SWS\_Met BUFR uses this information to determine missing elements. In BUFR, missing values are set to the special value, called the missing data indicator (MDI).

All bit flags from the SWS\_Met MGDR are reversed prior to coding into BUFR, and an additional bit is added if necessary to indicate missing data. To illustrate, consider an 8-bit SWS\_Met MGDR flag:

|           | Most |   |   |   |   |   | Lea | ast | Significant Bit |
|-----------|------|---|---|---|---|---|-----|-----|-----------------|
| MGDR bit  | 7    | 6 | 5 | 4 | 3 | 2 | 1   | 0   |                 |
| bit value | 0    | 0 | 0 | 0 | 0 | 1 | 0   | 1   |                 |

Now the same 8-bit flag as represented in BUFR:

|           | Μ | Most |   |   |   |   |                |   | ast | Significant Bit |
|-----------|---|------|---|---|---|---|----------------|---|-----|-----------------|
| BUFR bit  | 1 | 2    | 3 | 4 | 5 | 6 | $\overline{7}$ | 8 | 9   |                 |
| bit value | 1 | 0    | 1 | 0 | 0 | 0 | 0              | 0 | 0   |                 |

Given a BUFR bit number,  $n_B$ , the equivalent MGDR bit number,  $n_M$  is found simply by subtracting one,

 $n_M = n_B - 1.$ 

Because of the bit reversal, if a BUFR flag is stored as an integer in a FORTRAN program, use BTEST(I,NDW-NB-1) to test bit NB, where NDW is the width in bits of the data element in BUFR.

Flags are all initially set to a value of 1 (turned on). Each flag is cleared to a value of 0 (turned off) when and if the particular test is passed. Thus when a cell is flagged because winds were not retrieved (bit 10 of **wvc\_quality\_flag** [021109] is set), then high and low wind speed flag processing is bypassed, and bits 11 and 12 remain set. This approach is used for all flags in the SWS\_Sci. In the SWS\_Met data sets there is one exception: an ice flag is cleared if land is present. This effects bit 9 of **wvc\_quality\_flag** [021109] and bit 2 of **surface\_flag** [008018]. [Note that the BUFR convention of numbering bits is used here.]

The order in which individual flags are processed is not always obvious. For most usage, this does not matter, since only data which has passed all relevant quality control tests will be used. If data of lesser quality is to be used, then detailed knowledge of the ordering of the quality control procedures is required. See Section "1.6.7 Bit Flag Conventions" in each of the SIS [15, 16, 17]. The following sub-sections describes how to use the flags.

### 5.2 Data Overlap and Implications

Each SWS\_Sci file corresponds to a single revolution or rev, defined as starting and ending at the southernmost latitude of the orbit (i.e., over Antarctica). [NOTE that orbits start at the

ascending equator crossing and revs start closest to the South Pole.] As noted earlier, each WVC row corresponds to a single cross-track cut of the SeaWinds instrument measurement swath. Each SWS\_Met WVC is  $25 \ km$  square, so  $1624 \ WVC$  rows are adequate to cover one complete circumference of the earth.

Data is processed in real-time in batches. Such a batch might be obtained from a single downlink. For this reason, a batch of data is called a data pass since a downlink is communicated in a single pass of the satellite overhead. However a data pass is really an arbitrary batch of data corresponding to a contiguous portion of the QuikSCAT telemetry stream. Data passes may vary in size due to variations in communication times and processing schedules. A data pass may correspond to one or more portions of one or more orbits and to a portion of a downlink, exactly one downlink or multiple downlinks. The current nominal procedure is to obtain all data for the last 110 minutes every 100 minutes in a single downlink. This corresponds to one orbit's worth of data plus a ten minute overlap. The recorded data for the downlink start and end near the north pole, and are divided into two data passes for more timely processing. That is, data collected for ascending and descending tracks are processed independently. Note that in this nominal case, there will be no winds retrieved near the edges of the data passes, where repeating WVC rows will occur. If a downlink is missed, and more than one rev is downlinked subsequently, then the on-time data is processed first and the late data is processed last.

The SWS\_Met MGDR and SWS\_Met BUFR are record oriented and each record corresponds to a single WVC row. However, due to data overlap, a WVC row may be associated with multiple records, and some care must be taken to choose the most complete record. For the SWS\_Sci there are no duplicate  $\sigma^0$  values, but  $\sigma^0$  values are divided strictly by rev, and some WVC rows near the south pole will have  $\sigma^0$  values in two files, with foreward flavored  $\sigma^0$  one rev behind the aft flavored  $\sigma^0$ . In the nominal case, even in real time, this will not affect WVC rows containing wind retrievals. The details are described in the following paragraphs.

The input to the SWS\_Met and science processing algorithms is time ordered and defined by time boundaries, and the output is in spatial order and defined by spatial boundaries. To ensure that input and output contain the same data, the processing algorithms must consider the acquisition pattern of the SeaWinds instrument's rotating antenna. For example, for the science processing, as the spacecraft approaches and passes a rev boundary, the SeaWinds instrument acquires data on either side of that boundary. Thus, the SWS\_Sci must include WVC rows which extend beyond the 1624 rows which comprise one complete earth circumference. To cover those  $\sigma^0$  measurements which lie beyond the boundaries of the rev, the SWS\_Sci include up to 39 additional WVC rows before the start and after the end of each rev. These additional WVC rows cover 975 km at each end of the rev. Thus, the nominal SWS\_Sci contain 1702 WVC rows and WVC rows 1-39 and 1586-1624 occur twice. Since the rev boundary is close to the South Pole, no wind retrievals are affected.

For SWS\_Met processing, data passes are normally processed in time order. Each data pass contains all available data observed within an arbitrary time interval. Data corresponding to at least the last 39 rows of WVCs from one data pass will be repeated in the next data pass. The current nominal procedure is to repeat ten minutes of telemetry in two successive passes.

In an application when multiple files are concatenated, or where many BUFR records are collected into a data base, this will result in WVC rows repeating. In the nominal case the WVC rows which repeat have no winds—if the application uses only wind data, no special action is required. However if the overlap region occurs where wind retrieval is possible, or if the application makes use of  $\sigma^0$  values over land or ice, then one of each pair of WVC rows must be selected for further processing.

First repeating WVC row records must be detected. For repeating WVC row records the values of **wvc\_row\_time** [004001/6] will be close to identical. A better approach is to match **wvc\_row** [005034] and **rev\_number** [005040], since these should be unique. (This is in contrast to the SWS\_Sci, where there are multiple WVC row records with the same value of **wvc\_row** [005034] for a single rev.)

For a given WVC row that repeats, the data values may be identical or different, depending on whether additional  $\sigma^0$  values are present in one of the WVC row records. In this case, we eliminate the record which has fewer  $\sigma^0$  values. Even when all data values agree, the ambiguity selection might be different.

In all cases the higher quality data is expected be be furthest from the edge of the data pass. Therefore, we have added **time\_to\_edge** [004042], which indicates the time difference to the nearest edge of the data pass. Then, when repeating WVC rows are detected, keep the one with the largest value of **time\_to\_edge** [004042]. [*TBD: At some later time, for convenience, elements* rev\_number [005040], wvc\_row [005034], and time\_to\_edge [004042] may be repeated in ksec2(1) so that sorting messages and choosing between repeating WVC rows may be accomplished without decoding the body of each message.]

### 5.3 Ocean Wind Vector Data

The ocean wind vector data are contained in data elements

```
wind_speed [011012];
wind_speed_err [011052];
wind_dir [011011];
wind_dir_err [011053]; and
max_likelihood_est [021104].
```

These are BUFR elements 30-49. Use **num\_ambigs** [021101] to determine the number of non-missing wind ambiguities. If **num\_ambigs** [021101] is n, then the first n locations for each wind data element will contain data. If the  $\sigma^0$  data are not of sufficient quality or over land or ice, n = 0, all wind data elements, including **wvc\_selection** [021102], will be missing, and bit 10 of **wvc\_quality\_flag** [021109] will be set.

Several other data quality indicators are also present in **wvc\_quality\_flag** [021109], including whether there are not enough good  $\sigma^0$  values for wind retrieval (bit 1); whether there is not enough azimuthal diversity for wind retrieval (bit 2); whether some land is within the WVC (bit 8); whether some ice is within the WVC (bit 9); whether the retrieved wind speed is  $> 30 \ m/s$  (bit 11); and whether the retrieved wind speed is  $< 3 \ m/s$  (bit 12). Currently bit 13 through bit 16 are used to store experimental rain flags. See Table 9. For more details see the Level 2B SIS.

It is important to note that all wind directions in the SWS\_Met BUFR are given in the "meteorological" convention, i.e. wind flowing toward the North is defined as 180 degrees, with positive angles increasing in the clockwise direction. That is, the direction is the direction from which the air flows. To convert from speed and direction denoted  $(U, \phi)$  to zonal and meridional components (u, v), use:

$$u = -U\sin\phi,$$
$$v = -U\cos\phi.$$

## 5.4 Precipitation information

Experimental precipitation information is included in data elements

```
mp_rain_probability [021120];
nof_rain_index [021121];
tb_rain_rate [013195]; and
tb_attenuation [021122].
```

These are BUFR elements 26–29.

The **mp\_rain\_probability** [021120] and **nof\_rain\_index** [021121] are described in the Level 2B SIS. Because these elements depend on the  $\sigma^0$  values, separate tables and thresholds were developed for the WVC-composites.

Currently tb\_rain\_rate [013195] and tb\_attenuation [021104] are placeholders.

### 5.5 Brightness temperature information

Experimental brightness temperature information is included in data elements

```
tb_mean_h [012063];
tb_mean_v [012063];
tb_stddev_h [012065];
tb_stddev_v [012065];
num_tb_h [008022]; and
num_tb_v [008022].
```

In SWS\_Met BUFR these elements are identified as being either horizontally or vertically polarized by **polarization** [002104]. The brightness temperature information is held in BUFR elements 50–57.

Brightness temperatures are deduced from the noise measurement associated with each pulse. The accuracy of the individual brightness temperatures is poor, so the mean over each WVC is calculated, separately for the inner and outer beams. For potential quality control procedures, the standard deviation within the WVC and the number of individual measurements used in the averaging are included as well.

Currently all these elements are placeholders. They will be defined in later versions of the SIS.

# **5.6** Collocated $\sigma^0$ Data

The  $\sigma^0$  data are contained in

```
cell_latitude [005002];
cell_longitude [006002];
sigma0_atten_map [021118];
cell_azimuth [002112];
cell_incidence [002111];
polarization [002104];
sigma0 [021105];
kp_alpha [021106];
kp_beta [021107];
kp_gamma [021114];
sigma0_variance_QC [021117];
sigma0_quality_flag [021115];
sigma0_mode_flag [021116]; and
surface_flag [008018].
```

These are within BUFR elements 58-117. The total number of WVC-composite  $\sigma^0$  values in a WVC is given by **num\_sigma0\_per\_cell** [021103]. To determine those  $\sigma^0$  data elements which contain data, examine **num\_in\_fore** [021110], **num\_out\_fore** [021111], **num\_in\_aft** [021112], and **num\_out\_aft** [021113]. Each of these elements will have a value of zero or one if the WVC-composite corresponding to the associated "flavor" is missing or present, respectively. When a  $\sigma^0$  value is missing, all associated variables are also missing.

There are three bit flag data elements relevant to the use of the  $\sigma^0$  data. These are the **sigma0\_mode\_flag** [021116], **sigma0\_quality\_flag** [021115], and **surface\_flag** [008018]. In general, all three should be examined when using  $\sigma^0$  values.

The **sigma0\_mode\_flag** [021116] indicates the instrument mode and status associated with the  $\sigma^0$  value. Bits 3 and 4 of **sigma0\_mode\_flag** [021116] may be used to verify the "flavor" of each value; in particular, bit 3 of **sigma0\_mode\_flag** [021116] may be used to determine which antenna beam corresponds to a particular  $\sigma^0$  value. The inner antenna beam is always horizontally polarized and the outer antenna beam is always vertically polarized. This must be consistent with **polarization** [002104]. In addition, for usable geophysical data, bits 1, 2, 5, and 6 must be zero.

If sigma0\_quality\_flag [021115] bit 1 is zero, then the associated individual  $\sigma^0$  value is usable from the engineering point of view. If bit 1 is set to one, then bits 2 and 4–10 may be helpful in identifying the reason why the value is not considered usable. NOTE that if the  $\sigma^0$  value is considered usable, that does not necessarily imply either that the measurement was used for wind retrieval or is even usable for wind retrieval. A usable value may be over land or ice.

Bit 3 of the **sigma0\_quality\_flag** [021115], denoted s here, indicates whether the normal (ratio) space  $\sigma^0$  is negative. Any use of the  $\sigma^0$  values must take into account this bit flag. The  $\sigma^0$  measurements are provided in dB. To properly use the data the following conversion must be used:

$$\sigma^{0}[\text{ratio}] = (-1)^{s} 10^{(\sigma^{0}[dB]/10)}.$$

Negative  $\sigma^0$  observations are indicative of very light winds. However, the applicability of the attenuation correction and  $K_p$  values are uncertain in this situation.

The **sigma0** [021105] values are the observed top of the atmosphere values. These values should be corrected for atmospheric effects. The **sigma0\_atten\_map** [021118] values are the rain-free two-way nadir attenuation corrections to be applied to the  $\sigma^0$  data based on the monthly Wentz-SSM/I climatology. The value does not include the sec  $\theta$  correction, where  $\theta$  is the incidence angle of the measurement. The basic relationship is that

$$\sigma^0_T[\text{ratio}] = \tau^2 \sigma^0_S[\text{ratio}]$$

where subscripts T and S denote top of the atmosphere and surface, respectively and  $\tau$  is the one-way transmittance, itself given by,

$$\tau = \exp(-\alpha \sec \theta),$$

where  $\alpha$  is the atmospheric opacity in the vertical, measured in *napers*. Converting to decibels and solving for  $\sigma^0$  at the surface gives,

$$\sigma^0{}_S[dB] = \sigma^0{}_T[dB] + A\sec\theta.$$

That is, to remove the atmospheric effect, A, the **sigma0\_atten\_map** [021118] multiplied by sec  $\theta$  is added in dB space to the value of **sigma0** [021105]. Here

$$A = 10 \log(\tau_0^{-2}) = 20 \log(e)\alpha,$$

where  $\tau_0 = e^{-\alpha}$  is the one-way transmittance at nadir.

In the case of negative  $\sigma^0$ , (s = 1), the atmospheric correction described in the previous paragraph does not apply. One could replace  $\sigma^0$  by  $|\sigma^0|$  everywhere in the above development and obtain the same answer for these cases as well. However, when  $\sigma^0$  is negative the basic relationship describing attenuation above, which neglects noise, does not hold because noise is in fact dominating the signal. [TBD: Currently, when  $\sigma^0$  is negative, the SeaWinds data processing algorithms add the attenuation in dB space, but this is expected to change shortly to subtracting the attenuation in dB space, while leaving the sign bit set. With this approach a negative  $\sigma^0$  is corrected to a less negative  $\sigma^0$ . Such a correction is at least in the right direction, but one might argue that it is better to make no correction in this case.]

The SWS\_Met data set includes  $\sigma^0$  data for all surface types. The surface type may be inferred from **surface\_flag** [008018], bit 1 and bit 2. If bit 1 is set to one, then land is present; if bit 2 is set to one then ice is present and no land is present. NOTE that the surface type indicators do not allow one to infer if the surface is entirely land, or entirely ice, or some mixture. One can only infer either some land is present; or that some ice is present and no land is present; or that only water is present. If bit 11 is set to one than an ice map was not available and no ice quality control was performed. In this case ice might be present, but bit 2 will be cleared to zero. Finally bit 12 of **surface\_flag** [008018] should always be cleared to zero, indicating that an attenuation map was available.

### 5.7 $K_p$ Modeling

The **max\_likelihood\_est** [021104] is the value of the objective function which is maximized, divided by the number of  $\sigma^0$  WVC-composites used. The objective function is the negative of the sum of squared differences between observed and modeled  $\sigma^0$  values, where each squared difference is normalized by its expected variance,  $\varepsilon^2$ .

Three coefficients, denoted here as  $\alpha$ ,  $\beta$  and  $\gamma$ , are used to calculate  $\varepsilon^2$ , according to

$$\varepsilon^2 = [\alpha(1+K_{pm})-1](\sigma^0)^2 + \beta\sigma^0 + \gamma.$$

The values of  $\alpha$ ,  $\beta$ , and  $\gamma$  are stored in data elements **kp\_alpha** [021106], **kp\_beta** [021107], and **kp\_gamma** [021107]. NOTE that the value of  $\gamma$  is converted to dB before it is stored in BUFR. To convert back to a ratio use

$$\gamma[\text{ratio}] = 10^{(\gamma[dB]/10)}.$$

Together the coefficients  $\alpha$ ,  $\beta$  and  $\gamma$  represent the effect of  $K_{pc}$ , the communication noise, and  $K_{pr}$ , the "radar equation" noise due to various geometrical and other instrument uncertainties. Also  $K_{pm}$  accounts for errors in the formulation of the model function. The value of  $\sigma^0$  used here should be the modeled value. That is, during wind retrieval, it is the estimate of  $\sigma^0$  based on the current estimate of the wind.

This formula is really the same as that used for NSCAT, but isolates  $K_{pm}$ . Currently,  $K_{pm}$  is a constant but is implemented as a table with the same dimensions as the model function table, and is in fact combined with the model function in the same file. This allows  $K_{pm}$  to depend on wind speed, relative wind direction, incidence angle and polarization. We expect that  $K_{pm}$  will be refined at some future time.

Note that since the noise estimate increases as the amount of data decreases, WVCcomposites based on the smallest number of slices will generally have large noise estimates.

# 6 Points of Contact

Issues relating to data distribution and data processing, the scientific background and algorithms used, or this document should be brought to the attention of the appropriate personnel. Specific points of contact are given below. The preferred method of communication is e-mail.

• Real-time data distribution and processing issues should be referred to NOAA / NES-DIS:

Dr. Gene Legg NOAA / NESDIS 4401 Suitland Dr FB #4 Suitland MD 20746 e-mail: glegg@nesdis.noaa.gov Telephone: +1.301.457.5165

• Science and algorithm issues should be referred to:

Dr. R. Scott Dunbar Jet Propulsion Laboratory, MS 300-319 4800 Oak Grove Drive Pasadena, CA 91109, U.S.A. e-mail: R.Scott.Dunbar@jpl.nasa.gov Telephone: +1.818.354.8329 FAX: +1.818.393.5184

• Corrections and updates to this User's Guide should be referred to:

Dr. Ross N. Hoffman Atmospheric and Environmental Research, Inc. 840 Memorial Drive Cambridge, MA 02139-3794 USA e-mail: rhoffman@aer.com Telephone: +1.617.349.2230 FAX: +1.617.661.6479

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## 8 References

Many of the newer JPL reports, including the Software Interface Specifications [15, 16, 17], can be found at:

- ftp://podaac.jpl.nasa.gov/pub/ocean\_wind/quikscat/doc/
- http://podaac.jpl.nasa.gov/quikscat/

In addition [5, 6, 3] contain interesting background information.

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# 9 List of Acronyms

#### ADCS TBD

- **BUFR** Binary Universal Form for the Representation of Meteorological Data
- **BYU** Brigham Young University

NOAA / NESDIS, 14 February 2000

C/A TBD

**CSC** Computer Sciences Corp.

 $\mathbf{dB}$  Decibels

ECMWF European Centre for Medium-Range Weather Forecasts

ERS-1 European Remote-sensing Satellite 1

 ${\bf FFT}\,$  fast Fourier transform

### FORTRAN FORTRAN

 $\mathbf{GHz}$  Gigahertz

**GPS** Global Positioning System

**GSFC** Goddard Space Flight Center

HDF Hierarchical Data Format

IRU TBD

**JPL** Jet Propulsion Laboratory

Kbps kilobits per second

Mbps megabits per second

MGDR Merged Geophysical Data Record

**NASA** National Aeronautics and Space Administration

**NESDIS** National Environmental Satellite and Data Information Services

**NOAA** National Oceanic and Atmospheric Administration

 ${\bf NWP}\,$  Numerical Weather Prediction

P/L TBD

 ${\bf PDT}\,$  Pacific Daylight Time

**PO.DAAC** Physical Oceanography Distributed Active Archive Center

QuikSCAT NASA Quick Scatterometer

SAS SeaWinds Antenna Subsystem

- **SIS** Software Interface Specification
- SSM/I Special Sensor Microwave/Imager
- SeaWinds SeaWinds
- ${\bf SWS\_Met}$ SWS Real-Time Data Product
- ${\bf SWS\_Sci}$ SWS Science Data Product
- $\mathbf{TBD}$  to be determined
- ${\bf UTC}\,$  Coordinated Universal Time
- $\mathbf{WMO}\ \mbox{World}\ \mbox{Meteorological}\ \mbox{Organization}$
- $\mathbf{WVC}~\mathbf{Wind}~\mathbf{Vector}~\mathbf{Cell}$